



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Omura et al. Art Unit: 2661

Serial No.: 10/003,128 Examiner:

Filed : 11/14/2001 Conf. No. : 5299
Title : ROBUST DATA TRANSMISSION USING BROADCAST DIGITAL ECEIVED

TELEVISION SIGNALS JUN 2 4 2003

Commissioner for Patents

Technology Center 2600

P.O. Box 1450

Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§ 1.56 and 1.97-98

Pursuant to the provisions of 37 CFR §§ 1.56 and 1.97-98, enclosed herewith is a modified form PTO-1449 listing documents for consideration by the Examiner.

The filing of this Information Disclosure Statement shall not be construed as a representation regarding the completeness of the list of documents, or that inclusion of a document in this list is an admission that it is prior art or is pertinent to this application, or that a search has been made, or as an admission that the information listed is, or may be considered to be, material to patentability, or that no other material information exists, and shall not be construed as an admission against interest in any manner.

This Information Disclosure Statement is being filed:

- within three months of the filing date of the application, or date of entry into the national stage of an international application, or before the mailing date of a first office action on the merits, whichever event last occurred;
- before the mailing of a first official action after the filing of a request for continued examination (RCE) under 37 CFR § 1.114;
- after three months from the filing date of this national application or the date of entry of the national stage in an international application, or after the mailing date of the first official action on the merits, whichever event last occurred, but before the mailing date of the first to occur of either: (1) a final action under 37 CFR § 1.113; or (2) an action that otherwise closes prosecution in the application, and:

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents Washington, D.C. 20231.

Date of Deposit

Signature

Richard A. Dunning, Jr.

Typed or Printed Name of Person Signing Certificate

Attorney's Docket No.: RSM013001 Applicant: Omura
Serial No.: 10/003,128
Filed: 11/14/2001

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		attached hereto is the fee set forth under 37 CFR § 1.17(p) for submission
		of this Information Disclosure Statement under 37 CFR § 1.97(c); OR
		Applicant certifies pursuant to 37 CFR § 1.97(e) that:
		each item of information contained in this Information Disclosure
		Statement was first cited in a communication from a foreign paten
		office in a counterpart foreign application not more than three
		months prior to the filing of this Statement; OR
		no item of information contained in this Information Disclosure
		Statement was cited in a communication from a foreign patent
		office in a counterpart foreign application and, to the knowledge of the person signing this certification after making reasonable
		inquiry, no item of information contained in this Statement was
		known to any individual designated under 37 CFR § 1.56(c) more
		than three months prior to the filing of this Statement;
	hefore	the payment of the issue fee but after the mailing date of the first to occur
		her: (1) a final action under 37 CFR § 1.113; or (2) an action that otherwise
		prosecution in the application, and:
		Applicant certifies pursuant to 37 CFR. § 1.97(e) that:
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		Statement was cited in a communication from a foreign patent
		office in a counterpart foreign application not more than three
		months prior to the filing of this Statement; or
		no item of information contained in this Information Disclosure
		Statement was cited in a communication from a foreign patent
		office in a counterpart foreign application and, to the knowledge of
		the person signing this certification after making reasonable
		inquiry, no item of information contained in this Statement was
		known to any individual designated under 37 CFR § 1.56(c) more
		than three months prior to the filing of this Statement; AND
		attached hereto is the fee set forth under 37 CFR § 1.17 (p) for submission
		of this Information Disclosure Statement under 37 CFR § 1.97(c); OR
		the payment of the issue fee. Applicant requests that the information
		ned in this Information Disclosure Statement be placed in the file according
	to 37	CFR § 1.97(i), although the information may not be considered by the
	USPT	
		ion relies, under 35 U.S.C. § 120, on the earlier filing date of prior
applica	ation N	o/, filed on, and the documents cited therein are
		nced, but are not required to be provided in this application under 37 CFR §
1.98(d		
		information contained in this Information Disclosure Statement was cited in
		tion from a foreign patent office in a counterpart application, and the
		on was not received by any individual designated in 37 CFR § 1.56(c) more
		ys prior to the filing of this Information Disclosure Statement. 37 CFR §
1.704((d).	

Applicant : Omura
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Applicant submits that no fee is required for the consideration of this Information Disclosure Statement.

Consideration of the listed documents and favorable action are respectfully requested.

Law Office of Richard A. Dunning, Jr.

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Santa Cruz, CA 95062 Telephone: 831.420.0561

Facsimile: 413.541.0092

Respectfully submitted,

Richard A. Dunning, Jr.

Attorney/Agent for Applicant(s)

Reg. No. 42502



266/BT

PTO/SB/21 (08-00)

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		FORM		Filing Date		11/14/2001 BF	CEIVED	
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				Examiner Name		Technology Center 26		
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	-		ENCLOSUR	ES (check all that ap	ply)			
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	Affida	vits/declaration(s)	Petition to Convert to a Provisional Application			Status Letter		
	Extension of Time Request			er of Attorney, Revocation nge of Correspondence ess	Other Enclosure(s) (please identify below):			
	Express Abandonment Request			1		pies of Cited Documents, Postcard		
X	Information D	isclosure Statement	Request for Refund					
	Certified Copy Document(s)	y of Priority	CD,	Number of CD(s)				
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Date	Date 6/20/03							
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Sheet 1 of 1

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Complete if Known					
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Filing Date	11/14/2001				
First Named Inventor	Omura et al.				
Group Art Unit	2661				
Examiner Name					
Attorney Docket Number	RSM013001				

U.S. PATENT DOCUMENTS								
Examiner Initials*	Cite No.1	U.S. Patent Do	Kind Code ² (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
	Α	5,493,339		Birch et al.	02-20-1996			
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	FOREIGN PATENT DOCUMENTS								
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¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.